

# Backstepping Control of a Magnetic Levitation System Using PSO

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**Abstract:** Magnetic levitation systems are highly nonlinear and unstable systems and their efficacy depends on a well-designed controller for stabilizing the system and for tracking the desired reference signal. This work focuses on the design of a backstepping controller for a magnetic levitation (maglev) system under parameter uncertainty which is load variation. The mathematical model is obtained and the stable controller is designed based on this model and Lyapunov's theorem. Then, the controller parameters are optimally tuned by minimizing the integral of absolute error and control deviation performance criterion using the particle swarm optimization (PSO) algorithm. For comparison purposes, a Proportional-Integral (PI)-type linear quadratic regulator is also designed. A set of simulation works are carried out in order to verify both the tracking performance and the robustness against disturbance for the proposed controller.

**Keywords:** Magnetic levitation system, Backstepping control, PSO, PI-type linear quadratic regulator.

## 1. Introduction

Levitation is the process of suspending an object in the air in a stable position without any physical contact (Shu'aibu et al., 2017). It can be accomplished through the use of electric or magnetic forces. In a magnetic levitation system, an object (made of nickel, aluminum, iron, etc.) achieves equilibrium in air space under the influence of magnetic field only.

Magnetic levitation, also known as maglev, is an advanced technology in which an object is suspended or levitated in the midair with no support other than the magnetic field (Santhiya & Kishore, 2020). Due to the lack of contact with the levitated object, the magnetic levitation system (MLS) provides no wear and friction. Friction plays a significant role in real-world applications as it reduces performance in most cases. MLS is one of the approaches that has made a significant contribution to the reduction of friction (Banerjee et al., 2019). Many applications are focused on maglev technology since it is a non-contact technology that results in zero friction losses and higher energy efficiency. As a result, the cost of maintenance is low. MLS is used in frictionless bearings, high-speed ground transportation system, maglev heart pumps, maglev fans, space launching stations, wind turbines, high-precision positioning stages, and many other applications (Dalwadi et al., 2021). The most common application of this system is the magnetic levitation train.

The magnetic levitation (maglev) train is a new large-scale transportation system that uses magnetic fields to levitate, provide propulsion and direction. Due to technological advancements, it is becoming more viable in the public transport field, providing faster, more comfortable, and safer transportation than the conventional train (Braga Júnior & Barreiros, 2013). There are two different approaches for designing maglev train systems. The electrodynamic suspension (EDS) system is based on eddy current magnetic repulsive force, while the electromagnetic suspension (EMS) system is based on electromagnetic attractive force (Raj et al., 2019). EMS maglev train has unstable behaviour (Kim et al., 2017; Leng et al., 2019). Therefore, designing an excellent tracking controller is required to stabilize the train in the air and follow the desired reference signal in the presence of load variation.

Since suspension air gap control and following the desired reference signal are essential for the effective operation of the maglev system, several scholars and researchers have proposed a variety of control strategies and optimization techniques.

The system dynamic characteristics were analyzed in linear controllers, such as PID controller (Dey et al., 2020; Khan et al., 2018), FOPID controller (Mughees & Mohsin, 2020) and, state feedback controller (Awelewa et al., 2019), are based on

the linearized models, which are implemented in a small neighborhood near the equilibrium point. So, if the system deviates from equilibrium, the linearized model may become invalid. The high nonlinearity of the magnetic levitation train system makes the nonlinear controllers more desirable. In (Karabacak et al., 2023), the PID and LQR control were applied to a MLS, and their performances were compared. The PID parameters were calculated using the Matlab PID tuning function and the Q and R matrices of LQR control were chosen by the trial and error method. LQR control does not exceed the reference input and reaches the desired value in a considerably quicker period. Furthermore, disturbance effects achieve the reference input faster in LQR control. A comparative evaluation of magnetic levitation controllers employing the proportional-integral-derivative (PID) controller based optimal tuning was presented in (Abdalahdi et al., 2022). Three tuning strategies are investigated: radial basis function neural network (RBFNN) based metamodel, gradient descent, and standard PID based on Ziegler-Nichols tuning. The gradient descent algorithm gave the best rising time and overshoot in comparison with the RBFNN metamodel approach, hence the latter was the less successful method employed for tuning the PID controller in this paper.

In (Singh & Kumar, 2018), two control strategies, that is, PID controller and backstepping controller (BSC) were developed for the stabilization and control of a magnetic levitation system. The PID parameters were tuned with a model-based tuning algorithm, while BSC parameters were chosen by the trial and error method. The BSC approach achieved the desired control objective by providing better response and requiring less control effort than the PID controller while BSC parameters were chosen by the trial and error method. The robustness of the proposed control system was not tested in the paper. In (Adil et al., 2020), super-twisting and integral backstepping sliding mode controllers were proposed for controlling a maglev system and the system robustness was evaluated by adding external disturbance. The super-twisting SMC performed better than the integral backstepping SMC in terms of dynamic performance and robustness against disturbances. Jibril et al. (2020) investigated NARMA-L2, model reference and predictive controllers for a nonlinear magnetic levitation train. The simulation results showed

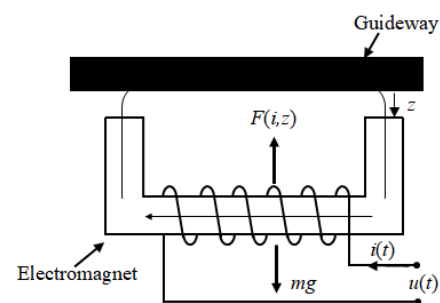
that the magnetic levitation train system with the NARMA-L2 neuro controller has an effective performance with the lowest percentage overshoot compared with the other controllers.

This paper presents the mathematical model of a maglev train system. A BSC law is designed for obtaining desired tracking performance of the feedback system. The control law is designed to guarantee the global asymptotic stability of the nonlinear system regardless of load disturbance changes present in the system. The parameters of the controller are tuned using particle swarm optimization (PSO) so that the integral of absolute error and control deviation (IAEU) performance criterion is minimized. By penalizing both large errors and large control inputs, the proposed controller is tuned to optimize the trade-off between the tracking error and control effort. Meanwhile, the proportional-Integral (PI)-type LQR is designed for comparison purpose and the Q and R matrices are determined by the trial and error method. A set of simulation works are carried out to validate the effectiveness of the proposed control method by comparing it with that of the PI-type LQR controller.

The paper is organized as follows. Section 2 presents the mathematical model of the maglev train system. Section 3 provides the details about the design of the BSC for the maglev train system. Section 4 discusses the simulation results and Section 5 contains the conclusion of this work.

## 2. The Modelling of a Magnetic Levitation System

This section focuses on the analysis of a single-point suspension of the EMS train system using a single electromagnet system, which is helpful in understanding the behavior of the entire levitation system. Figure 1 depicts the simplified model of the single electromagnet suspension.



**Figure 1.** Simplified model of the single electromagnet suspension

The suspension of an MLS roughly comprises the mechanical and electrical subsystems. The mechanical subsystem represents the system's vertical motion. Newton's second law of motion is applied for obtaining the model, where the upward direction is assumed to be negative. Considering all balancing forces acting on the levitated system, the following equation of motion is obtained:

$$m \frac{d^2 z}{dt^2} = -f_{mag} + mg \quad (1)$$

where  $z$  is the levitation gap between the track and the electromagnet,  $m$  is the mass of the maglev train,  $g$  is the acceleration due to gravity and  $f_{mag}$  is the electromagnetic force, denoted as  $F(i, z)$  in Figure 1.

The electromagnetic force can be expressed by

$$f_{mag} = \frac{\mu_0 N^2 i^2 A}{4z^2} \quad (2)$$

where  $\mu_0$  is the permeability of free space,  $N$  is the number of turns of the coil,  $i$  is the coil current of the suspension electromagnet and  $A$  is the cross-section area of the magnetic path.

Substituting equation (2) into equation (1) gives

$$m \frac{d^2 z}{dt^2} = -\frac{\mu_0 N^2 i^2 A}{4z^2} + mg \quad (3)$$

In addition, the voltage equation in the electromagnet winding circuit can be derived using the Kirchhoff's voltage law as in (Alkurawy, 2019).

$$Ri(t) + \frac{\mu_0 N^2 A}{2z(t)} \frac{di(t)}{dt} - \frac{\mu_0 N^2 Ai(t)}{2z^2(t)} \frac{dz(t)}{dt} = u(t) \quad (4)$$

where  $u$  is the applied voltage input and  $R$  is the magnetic reluctance of the circuit.

Defining the state variables as  $x_1(t) = z(t)$ ,  $x_2(t) = \dot{z}(t)$ , and  $x_3(t) = i(t)$  gives the model in state space form as

$$\dot{x}_1(t) = x_2(t) \quad (5a)$$

$$\dot{x}_2(t) = -a \frac{x_3^2(t)}{x_1^2(t)} + g \quad (5b)$$

$$\dot{x}_3(t) = \frac{x_3(t)x_2(t)}{x_1(t)} - \frac{Rx_3(t)x_1(t)}{k} + \frac{x_1(t)}{k} u(t) \quad (5c)$$

$$y(t) = x_1(t) \quad (5d)$$

where  $k = \frac{\mu_0 N^2 A}{2}$  and  $a = \frac{k}{2m}$ .

From equations (5a-d), the state variables and the control input at an operating point,  $y = y_r$ , become

$$x_{10} = y_r, x_{20} = 0, x_{30} = x_{10} \sqrt{\frac{g}{a}}, u_0 = Rx_{30} \quad (6)$$

It is assumed that the motion of the equations (5a-d) is in the neighborhood of the operating point, that is

$$\Delta x = x - x \quad (7a)$$

$$\Delta u = u - u_0 \quad (7b)$$

$$\Delta y = y - y_r \quad (7c)$$

where  $\Delta x$ ,  $\Delta u$ , and  $\Delta y$  are small deviations of  $x$ ,  $u$ , and  $y$ , respectively. The nonlinear system can be linearized around  $(x_0, u_0)$ .

$$\Delta \dot{x} = A \Delta x + B \Delta u \quad (8a)$$

$$\Delta y = C \Delta x \quad (8b)$$

where

$$A = \begin{bmatrix} 0 & 1 & 0 \\ \frac{2ax_{30}}{x_{10}^3} & 0 & \frac{-2ax_{30}}{x_{10}^2} \\ -\frac{Rx_{30}}{k} + \frac{u_0}{k} & \frac{x_{30}}{x_{10}} & -\frac{Rx_{10}}{k} \end{bmatrix}, B = \begin{bmatrix} 0 \\ 0 \\ \frac{x_{10}}{k} \end{bmatrix}, C = [1 \ 0 \ 0] \quad (8c)$$

## 3. Controller Design

### 3.1 Backstepping Controller Design

Backstepping is a recursive method that uses a systematic design approach and the Lyapunov controller design functions for particular types of nonlinear dynamical systems (Bai et al., 2013; Basri et al., 2018; Singh & Kumar, 2018).

Firstly, the following error is considered:

$$e_1 = y_r - x_1 \quad (9)$$

The time derivative of the error is:

$$\dot{e}_1 = \dot{y}_r - \dot{x}_1 = \dot{y}_r - x_2 \quad (10)$$

Considering  $x_2$  as virtual control law  $w_1$ , equation (10) can be rewritten as:

$$\dot{e}_1 = \dot{y}_r - w_1 \quad (11)$$

For the stability analysis of equation (11), the candidate Lyapunov function is considered:

$$V_1 = \frac{1}{2} e_1^2 \quad (12)$$

Differentiating equation (12) and substituting Equation (11) into equation (12) yields:

$$\dot{V}_1 = e_1(\dot{y}_r - w_1) \quad (13)$$

If the virtual control law  $w_1$  is expressed as:

$$w_1 = \dot{y}_r + k_1 e_1 \quad (14)$$

where  $k_1$  is a positive design parameter, then equation (13) becomes  $\dot{V}_1 = -k_1 e_1^2 < 0$ .

This means that according to Lyapunov stability theorem, the closed-loop system of equation (11) with equation (14) is globally asymptotically stable.

For backstepping control, the change of variable is used as:

$$e_2 = x_2 - w_1 = x_2 - \dot{y}_r - k_1 e_1 \quad (15)$$

Namely,

$$x_2 = e_2 + \dot{y}_r + k_1 e_1 \quad (16)$$

From equation (10) and equation (16), the following is obtained:

$$\dot{e}_2 = \dot{x}_2 - \dot{w}_1 = -e_2 - k_1 e_1 \quad (17)$$

Differentiating equation (15) and substituting equations (5b) and (17) into equation (15) yields:

$$\begin{aligned} \dot{e}_2 &= -a \frac{x_3^2}{x_1^2} + g - \ddot{y}_r - k_1 \dot{e}_1 \\ &= -a \frac{x_3^2}{(y_r - e_1)^2} + g - \ddot{y}_r + k_1(e_2 + k_1 e_1) \end{aligned} \quad (18)$$

Considering  $x_3$  as virtual control law  $w_2$ , equation (18) can be rewritten as:

$$\dot{e}_2 = -a \frac{w_2^2}{(y_r - e_1)^2} + g - \ddot{y}_r + k_1(e_2 + k_1 e_1) \quad (19)$$

The second Lyapunov function shall be considered as:

$$V_2 = \frac{1}{2}(e_1^2 + e_2^2) \quad (20)$$

Accordingly, the time derivative of  $V_2$  can be obtained as:

$$\begin{aligned} \dot{V}_2 &= e_1 \dot{e}_1 + e_2 \dot{e}_2 \\ &= -k_1 e_1^2 + e_2 \left( -e_1(1 - k_1^2) - a \frac{w_2^2}{(y_r - e_1)^2} \right) \\ &\quad + e_2(k_1 e_2 + g - \ddot{y}_r) \end{aligned} \quad (21)$$

If the virtual control law  $w_2$  is expressed in such a way that  $\dot{V}_2$  is negative definite,

$$w_2 = (y_r - e_1)\sqrt{q} \quad (22a)$$

$$q = \frac{1}{a}(g - \ddot{y}_r + e_2(k_1 + k_2) - e_1(1 - k_1^2)) \quad (22b)$$

where  $k_2$  is a positive design parameter. Then substituting equations (22a-b) into equation (21) gives  $\dot{V}_2 = -k_1 e_1^2 - k_2 e_2^2 < 0$ .

It is clear that  $\dot{V}_2$  is negative definite. Thus, the closed-loop system of equation (19) with equation (22) is globally asymptotically stable.

Finally, the change of variable is used as:

$$e_3 = x_3 - w_2 = x_3 - (y_r - e_1)\sqrt{q} \quad (23)$$

Namely,

$$x_3 = e_3 + (y_r - e_1)\sqrt{q} \quad (24)$$

By taking the time derivative of equation (23) and substituting equation (5c), the following is obtained:

$$\begin{aligned} \dot{e}_3 &= \dot{x}_3 - \dot{w}_2 \\ &= \frac{x_3 x_2}{x_1} - \frac{R x_3 x_1}{k} + \frac{1}{k} x_1 u - \dot{w}_2 \end{aligned} \quad (25)$$

Substituting equations (9), (16) and (24) into equation (25) yields:

$$\begin{aligned} \dot{e}_3 &= \frac{(e_3 + (y_r - e_1)\sqrt{q})(e_2 + \dot{y}_r + k_1 e_1)}{(y_r - e_1)} \\ &\quad - \frac{R(e_3 + (y_r - e_1)\sqrt{q})(y_r - e_1)}{k} \\ &\quad + \frac{1}{k}(y_r - e_1)u - \dot{w}_2 \end{aligned} \quad (26)$$

The Lyapunov function for the overall system is considered as:

$$V_3 = \frac{1}{2}(e_1^2 + e_2^2 + e_3^2) \quad (27)$$

Computing the time derivative of  $V_3$  and substituting equations (17), (18), (24) and (26) into equation (27) yields:

$$\begin{aligned} \dot{V}_3 &= e_1 \dot{e}_1 + e_2 \dot{e}_2 + e_3 \dot{e}_3 \\ &= -k_1 e_1^2 - k_2 e_2^2 + e_3 \left( \frac{1}{k}(y_r - e_1)u - \dot{w}_2 \right) \\ &\quad + e_3 \left( -\frac{a e_2}{(y_r - e_1)^2} (e_3 + 2(y_r - e_1)\sqrt{q}) \right) \\ &\quad + e_3 \left( \frac{(e_3 + (y_r - e_1)\sqrt{q})(e_2 + \dot{y}_r + k_1 e_1)}{(y_r - e_1)} \right) \end{aligned} \quad (28)$$

If the applied voltage input  $u$  is selected in such a way that  $\dot{V}_3$  is negative definite,

$$u = \frac{k}{(y_r - e_1)} \left( \frac{ae_2}{(y_r - e_1)^2} (e_3 + 2(y_r - e_1)\sqrt{q}) \right) - \frac{k(e_2 + \dot{y}_r + k_1 e_1)}{(y_r - e_1)} \left( \frac{(e_3 + (y_r - e_1)\sqrt{q})}{(y_r - e_1)} \right) + \frac{k}{(y_r - e_1)} (\dot{w}_2 - k_3 e_3) + R(e_3 + (y_r - e_1)\sqrt{q}) \quad (29)$$

where  $\dot{w}_2$  is computed by

$$\dot{w}_2 = (\dot{y}_r - \dot{e}_1)\sqrt{q} + (y_r - e_1)\frac{\dot{q}}{2\sqrt{q}} \quad (30)$$

$$\dot{q} = \frac{1}{a} (-\ddot{y}_r + \dot{e}_2(k_1 + k_2) - \dot{e}_1(1 - k_1^2)) \quad (31)$$

then

$$\dot{V}_3 = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 \quad (32)$$

It is clear that  $\dot{V}_3$  is negative definite and the overall closed-loop system is globally asymptotically stable.

### 3.2 Controller Parameter Tuning

As it is known from the previous subsection, the designed backstepping controller has three parameters,  $k_1$ ,  $k_2$  and  $k_3$  that affect the performance of the maglev train system. The tuning of these parameters leads to a multivariate optimization problem and needs the selection of an appropriate objective function. In this work, the IAEU performance criterion is adopted as the objective function. IAEU can avoid an excessive control input when the setpoint and/or disturbances change abruptly (Durand et al., 2014).

It is defined as:

$$\text{IAEU} = \int_0^{t_f} (|e(t)| + w|\Delta u|) dt \quad (33)$$

where  $e$  is the error, that is, the difference between the reference input and the output with  $e = y_r - y$  and  $\Delta u$  is the deviation of the control input from  $u_0$  with  $\Delta u = u - u_0$  where  $u_0$  is obtained from equation (6) and  $w$  is the weighting factor. Once the objective function is chosen, the next step is to apply the optimization method. In this study, the PSO algorithm is used for tuning the three design parameters. Figure 2 shows block diagram for offline-tuning the BSC using the PSO algorithm.

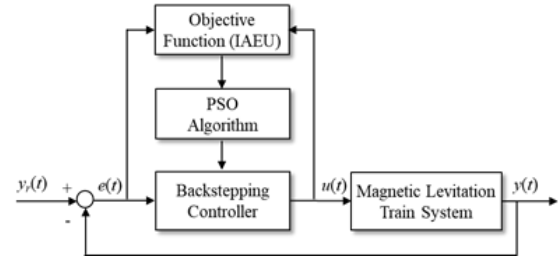


Figure 2. Offline-tuning of the backstepping controller using PSO

### 3.3 PI-Type Linear Quadratic Regulator

For comparison purposes, a linear quadratic regulator (LQR) is designed based on the linearized model in equations (8a-c). In order to eliminate the steady-state error and design a tracking controller, a new state variable is added as:

$$z = \int (y - y_r) dt \quad (34)$$

where  $y_r$  is the setpoint. Differentiating Equation (34) and combining it with equation (8a) gives the following augmented model:

$$\Delta \dot{\tilde{x}} = \tilde{A} \Delta \tilde{x} + \tilde{B} \Delta u + \begin{bmatrix} 0 \\ -1 \end{bmatrix} (y_r + x_{10}) \quad (35a)$$

where

$$\Delta \tilde{x} = \begin{bmatrix} \Delta x \\ z \end{bmatrix}, \quad \tilde{A} = \begin{bmatrix} A & 0 \\ C & 0 \end{bmatrix} \quad \text{and} \quad \tilde{B} = \begin{bmatrix} B \\ 0 \end{bmatrix} \quad (35b)$$

Then, the feedback control law is represented as follows:

$$\Delta u = -\tilde{K} \Delta \tilde{x} \quad (36)$$

The gain matrix  $\tilde{K}$  can be obtained in several ways, but here the optimal control technique is applied so that the quadratic cost function is minimized:

$$J = \int_0^{\infty} (\Delta \tilde{x}^T \tilde{Q} \Delta \tilde{x} + \Delta u^T \tilde{R} \Delta u) dt \quad (37)$$

where  $\tilde{Q}$  is a positive semi-definite matrix and  $\tilde{R}$  is a positive definite matrix. Then  $\tilde{K}$  can be obtained from:

$$\tilde{K} = -\tilde{R}^{-1} \tilde{B}^T \tilde{P} \quad (38)$$

where  $\tilde{P}$  is the solution of the algebraic Riccati equation:

$$\tilde{P} \tilde{A} + \tilde{A}^T \tilde{P} - \tilde{P} \tilde{B} \tilde{R}^{-1} \tilde{B}^T \tilde{P} + \tilde{Q} = 0 \quad (39)$$

Rewriting equation (36) results in:

$$u = u_0 - K_1(x - x_0) + k_i \int (y_r - y) dt \quad (40)$$

where  $\tilde{K} = [K_1 \quad k_i]$ .



## 4. Simulation and Discussion

The parameter values for simulation are the same as used in (Xu et al., 2017) and (Xu et al., 2018).

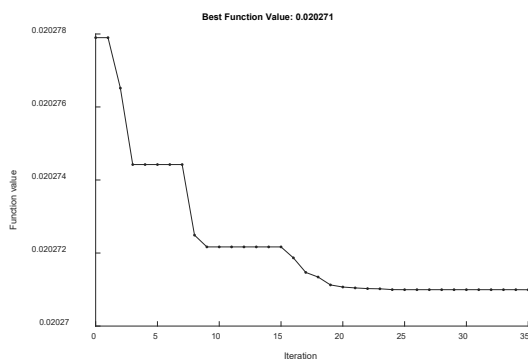
The initial position of the suspension air gap used in this work is 10mm, which was selected based on the operating range. With this selection,  $u_0 = 25.45$ .

**Table 1.** Physical parameter values of the magnetic levitation train system

Parameters with symbol	Values with unit
Mass of the EMS train ( $m$ )	700kg
Cross-section area of the magnetic path ( $A$ )	0.024m <sup>2</sup>
Number of turns of the coil ( $N$ )	450
Magnetic reluctance of the magnetic circuit ( $R$ )	1.2Ω
Permeability of free space ( $\mu_0$ )	$4\pi \times 10^{-7}$ H/m

### 4.1 Parameter Settings of the Controllers

In order to tune the proposed controller optimally, the particle swarm optimization function in MATLAB is used for adjusting the controller parameters  $k_1$ ,  $k_2$  and  $k_3$ . The parameters were chosen within the bound  $0 \leq k_1, k_2, k_3 \leq 80$  with  $w = 0.2 \times 10^{-3}$ . The average values for running the program 20 times with different random seeds are  $k_1 = 33.542$ ,  $k_2 = 33.673$  and  $k_3 = 31.160$ . Figure 3 shows a typical example of the optimization process for the BSC with PSO.



**Figure 3.** Optimization process of the BSC with PSO

For LQR, the weighting matrices were selected by the trial and error method as:

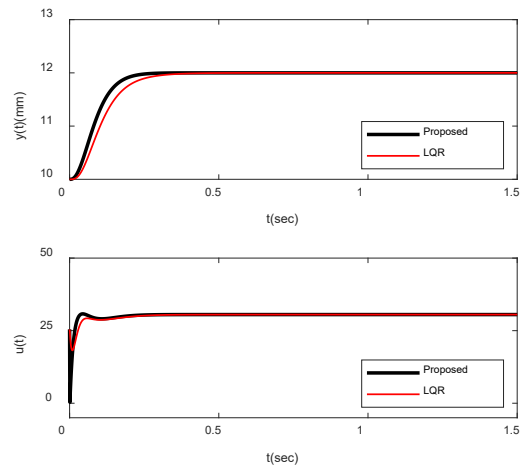
$$\tilde{Q} = \text{diag}(50, 1, 1, 9 \times 10^8) \text{ and } \tilde{R} = 1 \times 10^{-3}$$

that results in:

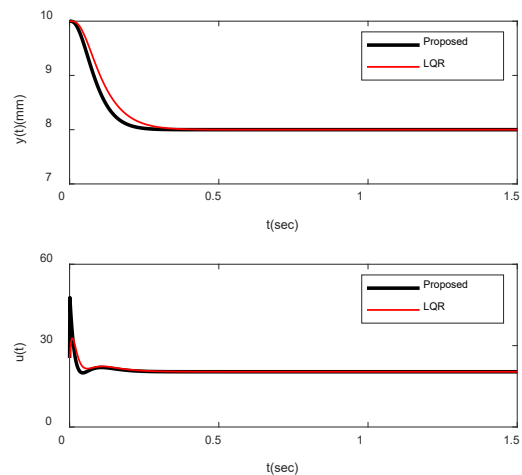
$$\tilde{K} = 1 \times 10^5 [-2.3595, -0.0426, 0.0006, -9.4868].$$

### 4.2 Tracking Performance Test

The tracking performance of the backstepping controller was assessed for different step inputs without load variation. For this test, the suspension air gap was increased from 10mm to 12mm. The responses of the proposed controller were compared with those of the LQR controller. Figure 4 shows the responses obtained by the two controllers for the upward change of the setpoint. In order to gauge the setpoint tracking performance of the two control methods quantitatively, overshoot  $M_p$ , rise time  $t_r$ , 2% settling time  $t_s$ , and IAEU were obtained. Table 2 illustrates the values obtained for the above-mentioned performance indices for the upward change of the setpoint. Figure 5 shows the responses of the two methods when the setpoint was decreased from 10mm to 8mm. Table 3 shows the quantitative performances of the two controllers for the downward change of the setpoint.



**Figure 4.** Responses of the proposed and LQR controllers for the upward change of the setpoint



**Figure 5.** Responses of the proposed and LQR controllers for the downward change of the setpoint

**Table 2.** Tracking performance for the upward change of the setpoint

Controller	Performance indices			
	$M_p$	$t_r$	$t_s$	IAEU
Proposed	0	0.128	0.190	0.181
LQR	0	0.173	0.267	0.245

**Table 3.** Tracking performance for the downward change of the setpoint

Controller	Performance indices			
	$M_p$	$t_r$	$t_s$	IAEU
Proposed	0	0.129	0.193	0.183
LQR	0	0.176	0.267	0.245

As it can be seen from Figures 4 and 5 and from Tables 2 and 3, both controllers have no overshoot but the transient response of the BSC shows a better tracking performance with  $t_r = 0.128$ s,  $t_s = 0.190$ s and IAEU = 0.181 for upward, and  $t_r = 0.129$ s,  $t_s = 0.193$ s and IAEU = 0.183 for downward setpoint changes, respectively.

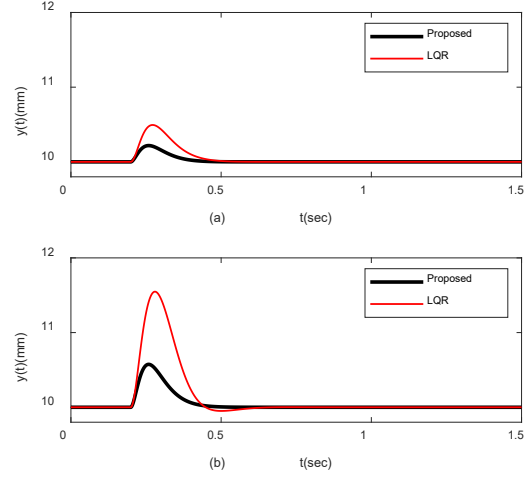
So, it is clear from these numerical indicators that the BSC has a better tracking performance for the given setpoint changes when compared with the LQR controller.

### 4.3 Performance Test Against Load Variation

The suspension must support the total mass of the train which includes both the vehicle's mass and the load (weight of passengers). In this work, the load variations of 10 and 30% of the total mass are considered. Figure 6 shows the robustness of the two control methods in the presence of mass changes of 10% and 30%. As it can be seen in Figure 6, both control methods satisfactorily follow the reference signal with an internal disturbance of 70kg and a load mass of 210kg. However, the results clearly show that the proposed backstepping controller settles faster than the LQR controller.

In order to assess the disturbance rejection performance of the two methods quantitatively, the perturbation peak  $M_{peak}$ , peak time  $t_{peak}$ , recovery time  $t_{rcy}$  and IAEU in equation (33) are used.  $M_{peak}$  means  $|y_{max} - y_r|$  and  $t_{rcy}$  denotes the time that it takes for  $y$  to recover within 2% of  $y_r$ . A comparison of the performances of both control strategies for a 10% and a 30% increase in load mass is illustrated in Tables 4 and 5, respectively. It can be seen from these tables that the BSC has

a better response with smaller values of  $M_{peak}$ ,  $t_{peak}$ ,  $t_{rcy}$ , and IAEU for increase in load mass. These numerical performances indicate that the BSC shows a higher robustness under load variations than the LQR controller.

**Figure 6.** Responses of the proposed and the LQR controller for: a) a 10% increment of  $m$ ; b) a 30% increment of  $m$ **Table 4.** Performance measures against load variation for a 10% increment of  $m$ 

Controller	Performance indices			
	$M_{peak}$	$t_{peak}$	$t_{rcy}$	IAEU
Proposed	0.218	0.018	0.269	0.024
LQR	0.494	0.294	0.307	0.063

**Table 5.** Performance measures against load variation for a 30% increment of  $m$ 

Controller	Performance indices			
	$M_{peak}$	$t_{peak}$	$t_{rcy}$	IAEU
Proposed	0.574	0.059	0.269	0.064
LQR	1.548	0.080	0.357	0.194

## 5. Conclusion

In this paper, a nonlinear backstepping control scheme based on Lyapunov's theorem was studied for a maglev levitation system and the controller parameters were optimally tuned by minimizing the IAEU performance criterion using PSO. The main results derived from a set of simulation studies on the nonlinear model are as follows.

First, the BSC not only has no overshoot but also outperforms the LQR by reducing  $t_s$  by 28.8% and 27.7%, and IAEU by 26.1% and 25.3%, for upward and downward setpoint changes, respectively.

Second, for robustness against load change of 10%, the BSC achieves a better performance than the LQR controller by reducing the overshoot by 55.9%, peak time by 93.9%, the recovery time by 12.4%, and IAEU by 61.9% in comparison with the results obtained for the LQR. As for robustness against a load change of 30%, the BSC also achieves a better performance than the LQR by reducing  $M_{peak}$  by 55.9%,  $t_{peak}$  by 26.3%,  $t_{rcy}$  by 24.6%, and IAEU by 67.0%.

In conclusion, the BSC shows a better setpoint tracking and load disturbance rejection performance than the LQR controller.

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